#### 1 Breakthrough Technologies

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# <sup>3</sup> Optical Measurement of Stem Xylem Vulnerability

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5 **Abstract:** The vulnerability of plant water transport tissues to a loss of function by cavitation during 6 water stress is a key indicator of the survival capabilities of plant species during drought. Quantifying 7 this important metric has been greatly advanced by non-invasive techniques that allow embolisms to 8 be directly viewed in the vascular system. Here we present a new method for evaluating the spatial 9 and temporal propagation of embolising bubbles in the stem xylem during imposed water stress. We demonstrate how the "optical method", previously used in leaves, can be adapted to measure the 10 11 xylem vulnerability of stems. Validation of the technique is carried out by measuring the xylem 12 vulnerability of 13 conifers and two short vesselled angiosperms and comparing results with 13 measurements made using the "cavitron" centrifuge method. Very close agreement between the 14 two methods confirms the reliability of the new optical technique, and opens the way to simple, 15 efficient and reliable assessment of stem vulnerability using standard flatbed scanners, cameras or 16 microscopes.

17

#### 18 INTRODUCTION

19 In modern tracheophytes xylem cavitation constitutes a fundamental limitation to the functionality 20 of water transport systems. As a consequence, the ability of species to resist or avoid cavitation 21 forms a primary axis of adaptation and ecological variation among land plants (Xu et al., 2016). However, despite the tremendous ecological and physiological insights that await a detailed 22 23 understanding of the limits and spread of xylem cavitation in plant species, rapid progress has been 24 limited by technical difficulties. These difficulties are largely associated with replicating, under 25 experimental conditions, the metastable hydraulic environment that characterizes water flowing in 26 the xylem when exposed to the large tensions that exist during rapid transpiration or soil water 27 deficit (Cochard et al., 2013).

28 Most traditional methods of quantifying the degree of xylem embolism require excision of plant 29 parts (stems, roots or leaves), causing air or exogenous water to be rapidly sucked into the 30 vasculature, thereby substantially perturbing the vascular system prior to measurement (Ennajeh et 31 al., 2011; Rockwell et al., 2014). A substantial advance in recent years has been the use of imaging 32 technology that allows water to be viewed inside intact plants, revealing the location and formation 33 of embolisms inside stems (Brodersen et al., 2013), roots (Cuneo et al., 2016), leaves (Bouche et al., 34 2015; Brodribb et al., 2016; Scoffoni et al., 2017), and flowers (Zhang and Brodribb, 2017). These 35 studies have substantially changed our view of xylem cavitation and repair, indicating that cavitation 36 can propagate quickly between plant organs (Skelton et al., 2017), and that air blockages (embolisms) 37 are not rapidly repaired in trees after re-watering (Choat et al., 2015; Charrier et al., 2016). 38 Cavitation is now widely viewed as a long-term damage to the water transport system of trees, that

occurs under significant water stress, and that is repaired by regrowth of new xylem tissue (Brodribbet al., 2010; Cochard and Delzon, 2013).

41 Imaging with x-ray provides unrivalled spatial information about where cavitation occurs in stems 42 and can be used to determine the vulnerability of xylem to cavitation in plant species (Choat et al., 43 2015; Nolf et al., 2017). However the damaging nature of the x-ray beam means that high frequency 44 imaging during the hours and days required to dehydrate plants to water stresses sufficient to cause 45 cavitation is not possible. Magnetic resonance imaging on the other hand can provide spatial and temporal information about cavitation, but low image resolution (pixel sizes larger than the vessels 46 47 of most species) means that MRI can only be used to resolve embolisms in species with very large 48 vessels. Both techniques require large and expensive hardware and are not currently usable in the 49 field, thus having limited application for measuring large sample sizes. An alternative to these 50 hardware-intensive methods was recently developed using an optical technique measures changes 51 in visual light transmission caused by cavitation in leaf veins (Brodribb et al., 2016). This technique 52 was developed following observations of cavitation bubbles in excised conifer tracheids 53 (Ponomarenko et al., 2014), and provides detailed information about the spatial and temporal 54 evolution of cavitation in the venation network of leaves exposed to water stress. The calculated "vulnerability" of leaf xylem to cavitation (expressed as a P<sub>50</sub>, or potential required to deactivate 50% 55 of xylem function) using this Optical Vulnerability (OV) method agrees closely with hydraulically 56 57 measured  $P_{50}$  in leaves (Brodribb et al., 2016), indicating the utility of the method for quantifying 58 hydraulic failure . Importantly, the OV method requires only a flatbed scanner or camera to collect 59 vulnerability information, thus providing a cost effective and portable means of assessing leaf xylem 60 vulnerability.

61 Although the OV method has a demonstrated capacity to reveal leaf vulnerability to water stress, 62 one of the primary applications of xylem cavitation physiology is in the prediction of tree mortality 63 (Anderegg et al., 2015) and species distribution (Larter et al.), and in these applications stem 64 vulnerability may provide a more definitive mortality threshold than leaves. Studies of potted plants 65 have shown that failure of the stem xylem corresponds closely to the point of tree mortality during 66 acute drought stress (Brodribb and Cochard, 2009; Urli et al., 2013), as might be expected 67 considering the fact that embolism of the stem effectively isolates the leaves from soil water. A 68 vulnerability gradient from stems to leaves is evident in some species (Tyree et al., 1993) (but 69 probably not in herbs (Skelton et al., 2017)), and is hypothesized to be a way that woody plants 70 protect their more energy expensive stem investment by sacrificing leaves during extreme drought 71 (Zimmermann, 1983; Hochberg et al., 2017). Given the importance of understanding stem 72 vulnerability in woody plants we sought here to extend the highly efficient OV method in leaves, to 73 stems. We postulated that the same principle used to identify cavitation in leaves, recording changes 74 in light transmission caused by a transition from liquid to air filled xylem conduits during cavitation, 75 could be used in stems. Indeed it has been known for a long time that air bubbles can be visualized 76 in stems by light microscopy (Vesque, 1883), and the same principle was used 80 years ago as a way 77 of identifying the presence of water or air in branches by the evolution of light coloured streaks in 78 the wood after it had been pricked with a sharp scalpel (Haines, 1935). Here we utilize the principal 79 that a transition from a water-containing, to an air-filled conduit during cavitation will cause a 80 distinct colour change in visible conduits from translucent (typically dark) to reflective (white) tissue. 81 Thus we quantify spatially discrete changes in the refractive index of the stem. Continuous

82 observation of drying stems should thus allow the timing and pattern of cavitation to be recorded

83 and quantified in relation to concurrent measurements of stem water potential.

84 In order to cross-validate the new stem optical method here we use a traditional hydraulic

85 centrifuge method as a standard reference for comparison. The centrifuge method has long been

86 considered an accurate method for assessing xylem vulnerability, except in cases where maximum

vessel lengths are similar to the diameter of the centrifuge rotor (Cochard et al., 2013). For this

reason we focussed on a diverse group of conifers which lack long xylem conduits, and two short

89 vessel angiosperms were also included to maximize the breadth of the species sample.

90

### 91 RESULTS

92 Cavitation was easily resolved visually, and could be readily quantified by applying image difference

93 to distinguish fast changes in light reflection due to xylem cavitation from slow movements

94 associated with branch deformation during drying. The onset of cavitation was recorded on average

95 1308 minutes after branch excision, but ranged from 420 to 2230 minutes. In all species, the

96 cumulative total of cavitations recorded followed an approximately sigmoidal function, although this

97 was never a completely smooth function, typically being punctuated by blocks of major cavitation

98 (Fig.1, Fig. 2). These blocks of cavitation often involved hundreds of tracheids in the conifers, and

99 typically became larger as water potential approached  $P_{50}$  before diminishing in size towards the end

100 of the drying process. Typically, many cavitation events were recorded in the same part of the stem

101 due to the multiple layers of overlaying xylem that were represented in the 2D image differences.

102 The total cavitated area was typically 150-200% of the 2D area of the exposed stem (due to multiple

103 layers of conduits).

104 Cavitation in the two species of angiosperms also appeared to involve groups of conduits,

105 particularly during the period of maximum intensity of cavitation around P<sub>50</sub> (Fig. 2). But smaller

106 events, presumably representing individual conduits, were often observed as early events, or as a

tail towards the end of the cavitation process (Fig. 2).

108 Large differences in P<sub>50</sub> were recorded between species using the optical method, with means

109 ranging from -1.2MPa in *Retrophyllum comptonii* to – 9.1MPa in *Disemla archeri*. Within species

110 variation was also significant in many species, reaching a maximum in *Diselma archeri* where P<sub>50</sub>

111 ranged between -6.7 and -11.2 MPa between individuals. On average the coefficient of variation in

112 P<sub>50</sub> among replicate branches was 16.2% using the optical method and 9.2% using the cavitron.

113 Mean slopes of the vulnerability curves for each species (between 12% and 88% loss of function)

were correlated between the two methods, but the optical method produced steeper slopes on

115 average.

Among the conifer species there was strong agreement between P<sub>50</sub> determined with the optical

technique and centrifuge techniques. A regression slope of 0.997 (r<sup>2</sup>=0.93) was found between

optical and centrifuge P<sub>50</sub> in the 12 conifer species, and the ranking of P<sub>50</sub> was very similar using both

119 methods.

120 One of the two angiosperms sampled showed a significant difference between the optical and

121 centrifuge P<sub>50</sub>. Although both techniques found *Rosmarinus* samples to be highly cavitation resistant,

122 P<sub>50</sub> on the centrifuge (-12MPa) was 32% more negative than the optical method (-8.1MPa).

123

#### 124 DISCUSSION

A new optical method for visualizing the process of xylem cavitation in plants is shown here to quantify the vulnerability of stem xylem to cavitation-induced reductions in hydraulic function of the stem xylem. The process of cavitation damage to the stem vascular system during water stress could be tracked in time and space on the stems of a diversity of species including woody conifers and angiosperms. This novel technique represents a very easy and cheap new method for assessing stem vulnerability in woody species using excised branches. In principle, the method can also be used on attached branches, although this was not tested here.

132 The optical technique allows direct visualization of the process of cavitation in stems under realistic 133 conditions of plant desiccation (as opposed to centrifugation or stem pressurization). Apart from its 134 simplicity, the advantage of this technique is that it provides a complete view of the spatial and 135 temporal progression of cavitation in stems during increasing water stress. This new perspective of 136 stem cavitation means that continuous monitoring of stem cavitation is possible as bubbles 137 propagate axially in the stem during the development of increasing water deficit. Although cross-138 validation of the technique was performed using woody stems, the technique also works well in 139 herbaceous species, where more translucent stems often do not require phloem removal.

140 The precisely resolved temporal dynamics of stem cavitation in both conifers and angiosperms 141 studied here all yielded vulnerability curves that were highly sigmoidal in shape, characterized by an 142 initial, extended period of stem desiccation before any stem cavitation events were recorded. This 143 sigmoidal form of xylem vulnerability measured by the OV technique closely matches the form of 144 cavitron (Lamy et al., 2011) and x-ray CT (Choat et al., 2015) vulnerability curves. The majority of 145 data collected using traditional bench drying methods of measuring xylem vulnerability also produce 146 sigmoidal vulnerability curves, but more linear curves are often reported in species with highly 147 stress-resistant xylem (Markesteijn et al., 2011; Vinya et al., 2013). One important benefit of the OV 148 and CT methods of assessing vulnerability is that they report the responses of functioning xylem 149 without reference to a "flushed" condition. The flushing procedure is required by other hydraulic 150 techniques, whereby samples are subjected to high water pressure to fill all airspaces in the sample 151 and provide a theoretical maximum conductance. Flushing has the potential to activate (refil) xylem 152 that was non-functional xylem in the intact plant, as well as introducing bubble nuclei, both of which 153 can produce erroneous vulnerability curves (Rockwell et al., 2014).

Among the range of alternative methods for measuring xylem vulnerability, the cavitron was selected here as a standard for comparison because it is considered to be highly reliable when used to measure species with short conduits such as conifers (Cochard et al., 2013). For this reason most of our sample set was taken from the conifer clade, using the same individuals for both optical (sampled in 2016) and cavitron (sampled in 2012) techniques. The accuracy of centrifuges for measuring angiosperm xylem vulnerability is the subject of considerable debate due to probable artefacts associated with long vessels (Torres - Ruiz et al., 2014; Hacke et al., 2015). For this reason we only measured two species of angiosperms, selected to cover a range of sensitivity to water stress, but both of which had maximum xylem vessel lengths that were approximately half that of the rotor diameter. Despite the huge difference in vulnerability between the two angiosperms measured here, both were found to produce a sigmoidal form in their vulnerability curves using both optical and cavitron methods. Our predawn sampling of well watered trees ensured that sampled branches started drying from water potentials close to zero, thus ensuring a minimum of native embolism in the measured samples.

168 The optical method assesses the loss in xylem function in terms of a cumulative area of stem cavitated in each frame of image sequences. This area-based calculation does not account for the 169 profound influence of xylem conduit radius, in the order of  $r^4$ , that should determine the flow 170 penalty incurred by cavitation of any particular conduit in the stem (Sperry et al., 2006). Despite this 171 172 apparent limitation there was very strong agreement in  $P_{50}$  between the optical method (reporting 173 area of cavitated conduits) and the centrifuge method (quantifying losses in hydraulic conductance). 174 The explanation for the strong agreement between techniques despite different metrics of 175 cavitation is clearly evident from the spatiotemporal distribution of cavitation in stems observed 176 here. Most significant is the evolution of cavitation in large blocks of connected conduits as opposed 177 to discrete conduits, particularly as stems approached the  $P_{50}$  water potential. These large interconnected cavitation events are also seen in x-ray images of stems (Choat et al., 2015b), and 178 179 produce a steep slope in the vulnerability curve around  $P_{50}$ . Assuming that cavitation in stems on the 180 centrifuge also proceeds in this fashion, then it would be expected that  $P_{50}$ s produced by the two 181 techniques would agree. The optical technique emphasizes the importance of connections between 182 conduits more than the size of individual vessels, and due to the nature of cavitation propagation, 183 this is likely to accurately capture the dynamics of flow restriction. Although the slopes of 184 vulnerability curves produced by the cavitron tended to be shallower than those using the optical 185 method, this is may be explained by the smaller diameter branches used on the optical versus 186 cavitron technique. Small (3-6mm) diameter branches were used for the optical measurements to 187 ensure cavitations could be visualized from all depths in the stem. Larger diameter stem samples 188 used in the cavitron measurements are likely to incorporate more than one year of growth in the 189 sampled branch, particularly considering the slow growth of many of the conifer species used here 190 for comparison. Thus the cavitron curves reflect the integrated vulnerability of a much larger sample 191 of tracheids than the < one year old stems measured by the optical method, likely leading to a 192 shallower slope (Torres Ruiz et al., 2016).

193 A significant discrepancy between P<sub>50</sub> in optical and centrifuge methods was only observed in stems 194 of the angiosperm Rosmarinus. Although both methods recorded extremely high cavitation 195 resistance in this species, the cavitron produced a more negative  $P_{50}$ . Further examination of this species and other highly resistant angiosperms will be needed to determine whether this 196 197 disagreement is due to artefacts or some systematic bias of one of the two methods. One possible 198 contributing factor is the long travel time from Hobart to France prior to measurement of this 199 individual. Samples of the same species measured locally with the cavitron yielded values much 200 closer to the OV value (Herve Cochard, pers. comm). This very resistant end of the vulnerability 201 spectrum is of particular interest as it appears as a critical adaptation in both conifer (Larter et al.; 202 Brodribb et al., 2014) and angiosperm (Blackman et al., 2012) tree species inhabiting semi-arid 203 woodland.

204 The success of the optical method in providing a time resolved map of cavitation in water stressed 205 stems, while yielding an accurate measure of vulnerability in terms of  $P_{50}$ , opens the door to new 206 applications. The simplicity and low cost of the technique makes it highly appealing for ecological 207 and genetic research where large sample sizes are required. In addition the techinique provides a 208 means of viewing cavitation in tisues that have been difficult to measure. Flowers have recently 209 been successfully measured using the optical method to show embolism relative to leaves in herbs 210 and woody species (Zhang and Brodribb, 2017), while roots present an obvious future target. The 211 optical method is ideally suited to explore how cavitation moves within and between plant tissues as 212 water stress intensifies, and has the potential to provide an integrated view of cavitation in major 213 plant organs as cavitation propagates within an individual.

214

#### 215 MATERIALS AND METHODS

#### 216 Plant Material

217 Thirteen species of conifers from four conifer families (Table I) were sampled from a potted conifer 218 collection growing in glasshouses at the University of Tasmania. All plants were >10 years old and 219 were growing in 20L pots under well watered conditions in partially open glasshouses such that light 220 and temperature were close to ambient conditions in Hobart (Australia). Samples for centrifuge 221 analysis were collected and measured in 2012 while samples for optical analysis were made in 2016 222 on the same individuals or clones. All species were represented by three replicates collected as 223 cuttings from different individuals in the wild, or wild collected seeds. In addition we collected two 224 angiosperms with contrasting water stress tolerance to represent opposite ends of the angiosperm 225 vulnerability spectrum, but which had relatively short vessels such that they could be measured 226 using the centrifuge technique. These two species (Rosmarinus officinalis and Betula pendula) were 227 both collected at the end of a wet spring (2016) from single garden plants in Hobart.

- 228 Cavitron stem vulnerability
- 229 We carried out measurements on one or two branches from three to 16 trees per species.
- 230 Transpiration losses were prevented by removing the needles or leaves immediately after sampling
- and wrapping the branches in moist paper to keep them humid and cool (5°C) until the
- 232 measurement of embolism resistance (within three weeks of sampling). All samples were sent via an
- 233 international express shipping company to France within three days. Vulnerability to drought-
- induced embolism was then determined at the Caviplace (University of Bordeaux, Talence, France;
- http://sylvain-delzon.com/caviplace) with the Cavitron technique (Cochard, 2002; Cochard et al.,
- 236 2005). The bark was removed from conifer branches before sampling, to prevent resin
- 237 contamination, and all branches were recut with a razor blade, under water, to a standard length of
- 238 0.27 m. The percentage loss of conductance (PLC) was determined at different speeds (i.e. different
- 239 xylem pressures) to obtain a vulnerability curve for each sample. These vulnerability curves show the
- 240 percentage loss of xylem conductance as a function of xylem pressure (see (Delzon et al., 2010) for
- 241 details). For each branch, the relationship between PLC and xylem water pressure was fitted with
- the following sigmoidal equation (Pammenter and Van der Willigen, 1998):

$$PLC = \frac{100}{\left(1 + exp\left(\frac{S}{25(Pi - P_{50})}\right)\right)}$$

where  $P_{50}$  (MPa) is the xylem pressure inducing a 50% loss of conductivity and *S* (% MPa<sup>-1</sup>) is the slope of the vulnerability curve at the inflection point. Mean values of embolism vulnerability parameters ( $P_{50}$  and *S*) correspond to the average values of three to 16 samples per species. Additionally, we used our VCs to calculate P12 and P88, which are respectively the 12% and 88% loss of hydraulic conductivity. P12 and P88 are physiologically significant indexes because they are thought to respectively reflect the initial air-entry tension producing embolisms and the irreversible death-inducing xylem tension (Urli et al., 2013).

#### 250 Optical stem vulnerability

251 The same individuals or clones of trees collected in 2012 for cavitron determination of  $P_{50}$  were 252 revisited and sampled using the optical vulnerability method. Branches in the order of 1m long were 253 cut from trees early in the morning and transferred in plastic bags to the laboratory about 50m away. 254 Branches were generally allowed to equilibrate in moist plastic bags in the dark for a period of 60 minutes to ensure stomata were closed before preparing the stem for imaging. The optical method 255 256 cannot quantify existing embolism in the wood and is only able to measure new cavitations. For this 257 reason great care was taken to ensure that samples were not exposed to any form of water stress or 258 freezing in the months before measurement.

259 A stem psychrometer (ICT Australia) was fitted as close as possible to the region of stem being 260 scanned for embolism formation. In fitting the psychrometer a small square of bark was removed 261 avoiding damage to the wood. The psychrometer was partially insulated with polystyrene and set to 262 log leaf water potential every 10 minutes. The cooling time for the psychrometer was increased from 263 5s to 30s as stems dried, ensuring a stable reading of the wet-bulb temperature. Reference leaf 264 water potentials were taken during the drying period using a Scholander pressure chamber, to 265 ensure that leaf and stem water potentials were equilibrated, as would be expected due to stomatal 266 closure prior to the commencement of cavitation (Brodribb and Holbrook, 2003). However, after 267 stem cavitation had begun Scholander and psychrometer values often tended to diverge as would be 268 expected due to hydraulic disconnection between leaves and stems.

A stem approximately 3-6mm in diameter and approximately 80-120 cm in length for conifers or 1-269 270 2m in length for the angiosperms, was selected for scanning. Branches that were actively elongating 271 or expanding leaves were avoided to be sure that the xylem was mature (non-living). The depth of 272 xylem that could be reliably visualized for cavitation was approximately 1mm, so a selection of stems 273 were sectioned before-hand to determine the approximate branch thickness that would yield 1mm 274 of xylem above the pith. A leafless region of the stem, approximately 15mm in length was prepared 275 so that xylem on one side of the pith could be imaged. A region of bark approximately 15-20mm in 276 length was carefully removed from one side of the stem to expose the underlying wood without 277 causing damage the xylem. The easiest way of doing this was to run two parallel axial cuts along the 278 bark either side of the desired window, avoiding damage to the underlying xylem, and to use a 279 needle or fingernails to peel the bark gently back from the cuts. Once a window was created, it was 280 firmly secured either onto a flatbed scanner (Perfection 800, Epson) or a microscope stage (Leica 281 M205) using padded clamps to ensure no movement of the sample during drying. Once secured, the

282 scanner or camera was set to capture images at a rate of one per minute, and the sample left to dry 283 slowly until cavitations were no longer recorded (typically in the order of 48-120 hours). During 284 drying, the target region of the stem was mostly darkened except for the light of the microscope (a ring illumination using LED lighting) or scanner. The rest of the stem was exposed to laboratory 285 286 lighting, and ambient conditions of 22°C and 55% RH. In the case of the scanner, images were 287 collected in normal reflective mode rather than the transmission mode used for leaves. Samples 288 were allowed to dry until no further cavitations could be seen in the xylem for a period of 12 hours. 289 In some samples, a thin layer of hydrogel (Tensive Gel, Parker USA) was applied to the exposed 290 xylem surface to improve light transmission and reduce evaporation from the surface. This had no 291 appreciable effect on the value of  $P_{50}$  when compared between samples (unpublished data) but care 292 was necessary to avoid reflections of movements as the gel shrinks during the drying process.

293 Once completed, image sequences were analysed to identify cavitation, which was easily seen as 294 changes in the reflection of the exposed xylem. Analysis by image difference using ImageJ (NIH), was 295 carried out by are subtracting successive images to reveal fast changes in contrast produced by 296 cavitation. These rapid changes were easily identified in image subtractions, and could be filtered 297 from slow movements caused by drying. Thresholding of image differences allowed automated 298 counting of cavitation events using the "analyze stack" function in ImageJ. Full details including an 299 overview of the technique, image processing as well as scripts to guide image capture and analysis 300 are available at http://www.opensourceov.org.

- 301 A time-resolved count of cavitations in each stem, quantified as a number of pixels per event during 302 stem drying was compiled and this was converted to a % of total pixels cavitated. The psychrometer
- 303 output was then used to determine a fitted function that described the change in stem water
- 304 potential over time. Typically this was a linear function once stomata were closed, but occasionally
- polynomial functions were fitted to account for variation in the slope  $d\psi_{stem}/dt$ . Combining the
- 306 cavitation count with the function describing  $d\psi_{stem}/dt$  allowed the cumulative number of
- 307 cavitations to be expressed as a function of  $\psi_{stem}$ . The P<sub>50</sub> for each sample stem was taken directly
- from this plot. One value of P<sub>50</sub> was measured for each of three stems, allowing a mean and SD to be
- 309 presented for each species.

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317 Table I- Species list

Species	Family	Class
Agathis robusta (C.Moore ex F.Muell.) F.M.Bailey	Araucariaceae	Conifer
Araucaria bidwillii Hook.	Araucariaceae	Conifer
Araucaria cunninghamii Mudie.	Araucariaceae	Conifer
Wollemia nobilis W.G.Jones, K.D.Hill & J.M.Allen	Araucariaceae	Conifer
Callitris rhomboidea R.Br. ex Rich. & A.Rich.	Cupressaceae	Conifer
Diselma archeri Hook.f.	Cupressaceae	Conifer
Acmopyle pancheri (Brongn. & Gris) Pilg.	Podocarpaceae	Conifer
Afrocarpus falcatus (Thunb.) C.N.Page	Podocarpaceae	Conifer
Dacrycarpus imbricatus (Blume) de Laub.	Podocarpaceae	Conifer
Lagarostrobos franklinii (Hook.f.) Quinn	Podocarpaceae	Conifer
Phyllocladus aspleniifolius (Labill.) Hook.f.	Podocarpaceae	Conifer
Prumnopitys ladei (F.M.Bailey) de Laub.	Podocarpaceae	Conifer
Retrophyllum comptonii (J.Buchholz) C.N.Page	Podocarpaceae	Conifer
Retrophyllum rospigliosii (Pilg.) C.N.Page	Podocarpaceae	Conifer
<i>Betula pendula</i> Roth	Betulaceae	Angiosperm
Rosmarinus officinalis L.	Lamiaceae	Angiosperm

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#### 322 FIGURE CAPTIONS

323 Figure 1. A- Cumulative area of cavitated xylem in a sample stem of Callitris rhomboidea is shown to 324 increase rapidly approximately 1 day after a hydrated branch was excised (time zero) and allowed to 325 dry. After a rapid rise in cavitation the rate of new xylem cavitated (quantified as number of pixels) 326 falls back to zero approximately 3 days after excision. The insert graph shows that the size of newly 327 cavitated regions visualized in the stem reaches a maximum during the steepest part of the curve 328 (insert). During this period, very large blocks of tracheids were cavitating in the 2 minute interval 329 between scans. B- Cumulative area of cavitated xylem expressed as a function of stem water 330 potential showing a classic sigmoidal vulnerability curve. C- A mosaic of colour maps showing the 331 spatial progression of cavitation through time in this 20mm long branched sample, the same stem 332 sample as B and C. Sequential blocks of 280 images have been stacked together (frame numbers 333 shown at the lower portion of each tile), with cavitated pixels coloured according to the water 334 potential at which cavitation occurred. In this sample the smaller branches proved to be more 335 resistant to cavitation than the main branch.

336 Figure 2. Similar plots as in Fig.1 showing the progression of cavitation in a stem of the angiosperm

337 Rosmarinus officinalis. Despite the extreme resistance to cavitation in this stem the vulnerability

338 curve shows a very steep transition from 12 to 88% cavitation. The reason for this steep transition

339 can be clearly seen as due to a number of large and long cavitations between frames 279 and 333.

340 Figure 3. A comparison of vulnerability curve shape produced by the cavitron (black circles) and the

341 optical method using branches from the same three individuals of the conifer Lagarostrobus

342 franklinii. Although the mean  $P_{50}$  is very similar in both species, the slope of the curves between 12%

343 and 88% were steeper using the optical method.

344 Figure 4. Mean  $P_{50}$  (±sd) for stems of the same individuals measured with the optical and cavitron

345 methods. Very close agreement was found in the conifer sample between methods (regression slope

0.98; r<sup>2</sup>= 0.93). Among the two angiosperms sampled, good agreement was found in one species, 346

- while the cavitron method produced a more negative  $P_{50}$  in the second. Slopes produced by the two 347
- 348 techniques (insert graph) were correlated ( $r^2$ =0.35; p<0.05), but the optical technique produced a

349 steeper slope in 14/16 species (1:1 shown as dotted line in each plot).

350

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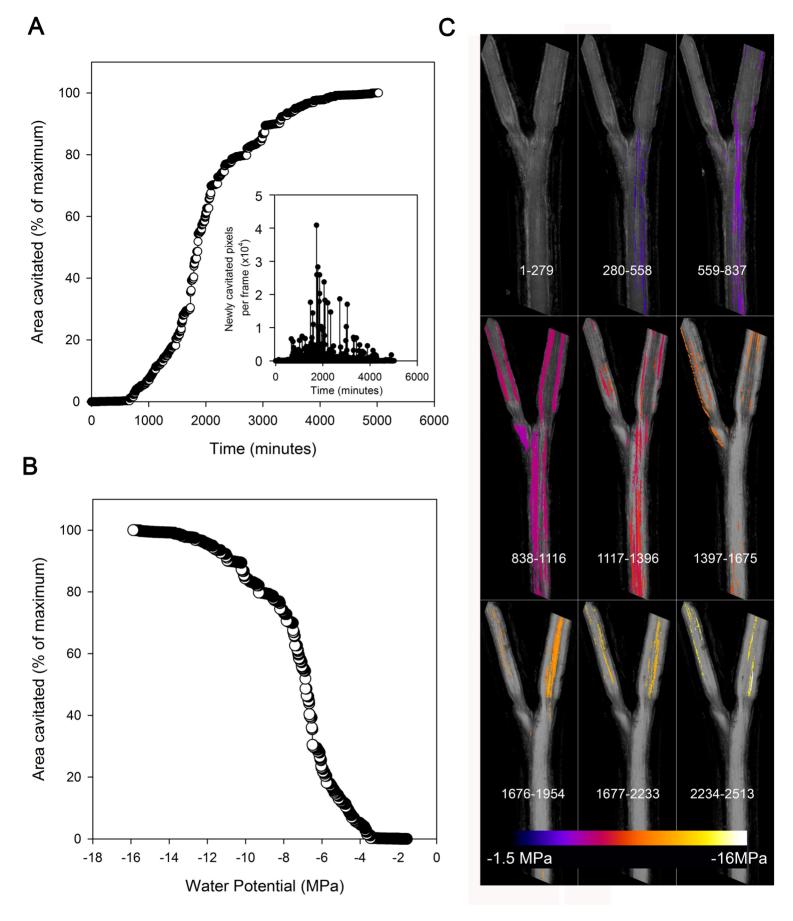
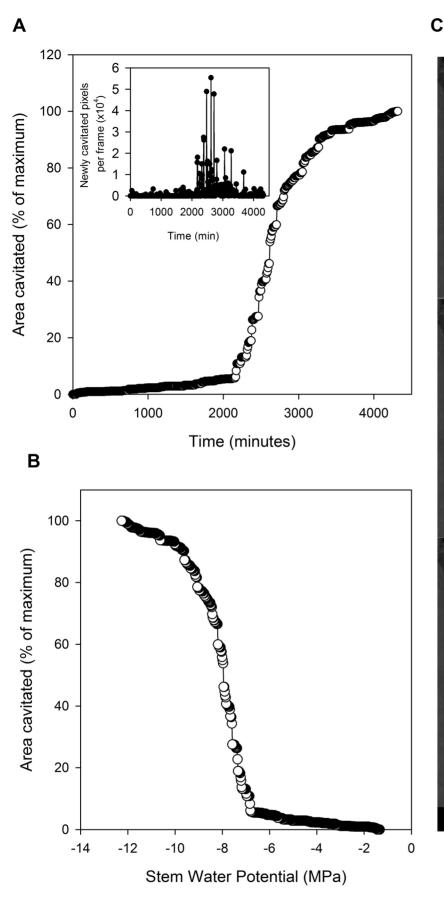


Figure 1. A- Cumulative area of cavitated xylem in a sample stem of *Callitris rhomboidea* is shown to increase rapidly approximately 1 day after a hydrated branch was excised (time zero) and allowed to dry. After a rapid rise in cavitation the rate of new xylem cavitated (quantified as number of pixels) falls back to zero approximately 3 days after excision. The insert graph shows that the size of newly cavitated regions visualized in the stem reaches a maximum during the steepest part of the curve (insert). During this period, very large blocks of tracheids were cavitating in the 2 minute interval between scans. B- Cumulative area of cavitated xylem expressed as a function of stem water potential showing a classic sigmoidal vulnerability curve. C- A mosaic of colour maps showing the spatial progression of cavitation through time in this 20mm long branched sample, the same stem sample as B and C. Sequential blocks of 280 images have been stacked together (frame numbers shown at the lower portion of each tile), with cavitated pixels coloured according to the water potential at which cavitation occurred. In this sample the smaller branches proved to be more resistant to cavitation than the main branched from on October 13, 2017 - Published by www.plantphysiol.org



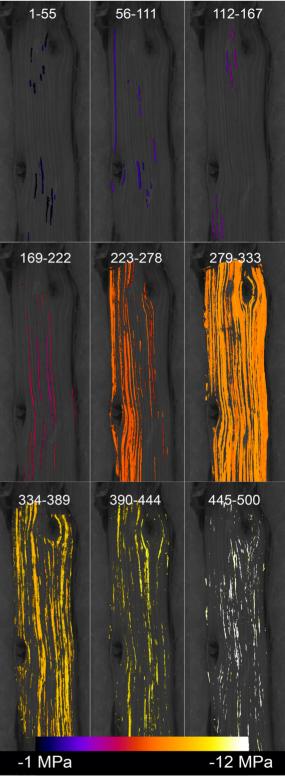


Figure 2. Similar plots as in Fig.1 showing the progression of cavitation in a stem of the angiosperm *Rosmarinus officinalis*. Despite the extreme resistance to cavitation in this stem the vulnerability curve shows a very steep transition from 12 to 88% cavitation. The reason for this steep transition can be clearly seen as due to a number of large and long cavitations between frames 279 and 333.

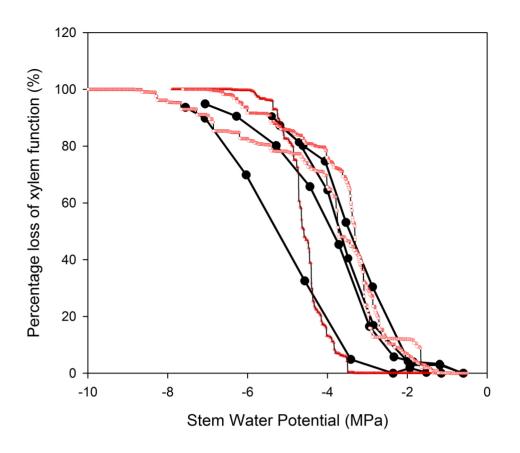


Figure 3. A comparison of vulnerability curve shape produced by the cavitron (black circles) and the optical method using branches from the same three individuals of the conifer *Lagarostrobus franklinii*. Although the mean P50 is very similar in both species, the slope of the curves between 12% and 88% were steeper using the optical method.

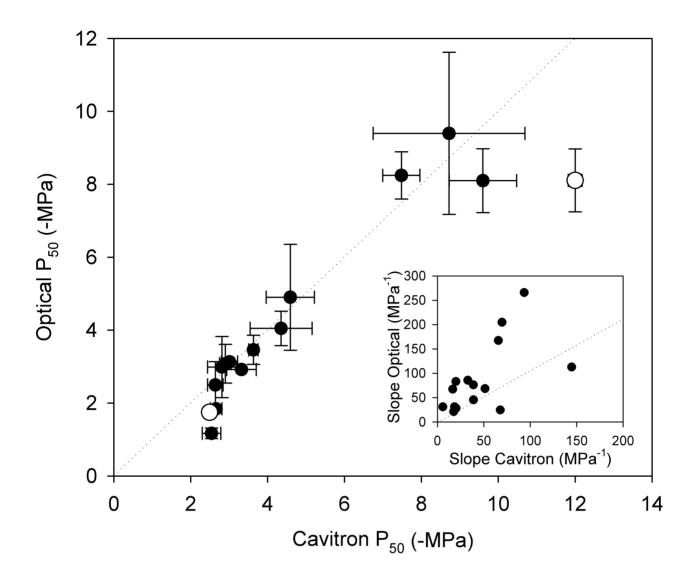


Figure 4. Mean P50 (±sd) for stems of the same individuals measured with the optical and cavitron methods. Very close agreement was found in the conifer sample between methods (regression slope 0.98; r2= 0.93). Among the two angiosperms sampled, good agreement was found in one species, while the cavitron method produced a more negative P50 in the second. Slopes produced by the two techniques (insert graph) were correlated (r2=0.35; p<0.05), but the optical technique produced a steeper slope in 14/16 species (1:1 shown as dotted line in each plot).

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