

1 X-ray microtomography (micro-CT): a reference technology for high-resolution
2 quantification of xylem embolism in trees

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20 **Abstract**

21 As current methods for measuring xylem embolism in trees are indirect and prone to artifacts,
22 there is ongoing controversy over the capacity of trees to resist or recover from embolism.

23 The debate will not end until we get direct visualization of vessel content. Here we propose

24 desktop X-ray microtomography (micro-CT) as a reference direct technique to quantify xylem

25 embolism and thus validate more widespread measurements based on either hydraulic or

26 acoustic methods. We used desktop micro-CT to measure embolism levels in dehydrated or

27 centrifuged shoots of Laurel—a long-vesseled species thought to display daily cycles of

28 embolism formation and refilling. Our direct observations demonstrate that this

29 Mediterranean species is highly resistant to embolism and not vulnerable to drought-induced

30 embolism in a normal range of xylem tensions. We therefore recommend that embolism

31 studies in long-vesseled species should be validated by direct methods such as micro-CT to

32 clear up any misunderstandings on their physiology.

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35 **Keywords**

36 Xylem physiology; Cavitation; Embolism; Methods; X-ray microtomography; Imaging.

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38 **Highlights**

39 There is ongoing debate over the validity of the techniques used to measure xylem
40 vulnerability. X-ray microtomography (micro-CT) is proposed here as a reference technique
41 as it enables direct visualizations of xylem embolism. These direct visualizations clearly show
42 that xylem conduits are highly resistant to cavitation, which means embolism is unlikely to
43 form and refill on a daily basis.

44

45 **Introduction**

46 In any experimental discipline, scientific progress is often tied to the development of
47 breakthrough technologies. The field of tree hydraulics made decisive strides forward with the
48 emergence of operational techniques for measuring leaf water potentials (Scholander *et al.*
49 1965), sap flow (Granier 1985) and xylem embolism (Milburn and Johnson 1966; Sperry *et*
50 *al.* 1988; Cochard 2005). A crucial phase for validating these techniques was to confront them
51 with reference methods. Pressure chamber data have been validated by psychrometer readings
52 (Boyer 1967), sap flow in tree trunks has been validated by lysimetric recordings (Steinberg
53 *et al.* 1990), but reference methods for xylem embolism data remain a glaring omission. The
54 upshot is persistent unanswered questions over the validity of current indirect measurement-
55 based techniques like loss of hydraulic conductance or ultrasound acoustic emissions.

56 The lack of a reference method for xylem embolism is closely related to the nature of
57 sap transport in trees, which occurs under large negative pressures in microscopic conduits.
58 These conduits have opaque walls that rule out direct optical observations of xylem content,
59 and any intrusion into the xylem can immediately drag air into the conduits. All the current
60 methods are indirect (Cochard *et al.* 2013) and therefore potentially prone to artifacts that
61 may skew our understanding of plant physiology and ecology (Delzon and Cochard 2014).
62 The recent literature is rife with reports of methodological biases (Cochard *et al.* 2010;
63 Christman *et al.* 2012; Sperry *et al.* 2012; Tobin *et al.* 2013; Wheeler *et al.* 2013; Torres-Ruiz
64 *et al.* 2014; Trifilò *et al.* 2014a; Wang *et al.* 2014). In short, there is no consensus on whether
65 current techniques are valid as none of studies performed can make reference to a standard
66 technique.

67 The recent publications by Wheeler *et al.* (2013) and Trifilò *et al.* (2014a) in this
68 journal are illustrative of the situation. Wheeler *et al.* (2013) used indirect techniques to
69 demonstrate that even cutting stressed xylem segments under water still causes air to enter the
70 xylem conduits. The implication is that a sampling artifact could explain why other teams
71 found high levels of embolism in vessels at midday when xylem is under large tension that
72 were apparently repaired through the afternoon as xylem tension drops. In this issue, the study
73 by Trifilò *et al.* (2014a) contradicts Wheeler's findings. Trifilò's team repeated Wheeler's
74 experiments using similar techniques but concluded that cutting stressed segments under
75 water caused a rapid entry of water into the xylem conduits, presumably by rapid refilling.
76 Again, a sampling artifact could explain the low level of embolism observed by Wheeler *et al.*
77 (2013) when xylem tensions were relaxed prior to sample excision. The discrepancy in the
78 interpretation of similar experiments is patent, and the confusion will remain until the content
79 of the intact xylem conduits is known for a normal range of operating xylem tensions.

80 Two distinct technologies have been employed to visualize xylem embolism in intact
81 samples: Magnetic Resonance Imaging (MRI) and X-ray microtomography (micro-CT) (see
82 Cochard *et al.* 2013 for a review). MRI systems suffer from poor spatial resolution (20 μ m at
83 best) and limited access to the technology (Holbrook *et al.* 2001). Micro-CT, in contrast,
84 offers a spatial resolution typically around 1 μ m, which is more than enough to visualize the
85 lumen content of xylem tracheids or vessels. However, until recently, micro-CT has remained
86 a fairly niche technology limited to synchrotron-based micro-CT systems (Brodersen *et al.*
87 2013). The recent emergence of powerful and affordable desktop-based micro-CT systems
88 offers the first opportunity to propose this technology as a reference for xylem embolism
89 studies (Charra-Vaskou *et al.* 2012; Suuronen *et al.* 2013, Torres *et al.* 2014).

90 Here, we illustrate how X-ray microtomography can serve as a reference method to
91 visualize the exact content of intact xylem conduits. We will recommend this technique to be
92 included by default in studies dealing with 'novel' xylem refilling (embolism repair under
93 tension) or addressing the validity of more indirect methods in order to consolidate their
94 conclusions. Reports suggest some tree species are able to reverse embolism (*Laurus*,
95 *Sambucus*, *Olea*, *Populus*) and others not (*Acer*, *Sorbus*) (see Brodersen & McElrone 2013 for
96 a review), and it was generally concluded that species that are highly vulnerable to drought-
97 induced embolism rely on refilling embolized vessels as a survival strategy. We thus focused
98 here on *Laurus nobilis* L.—a species known to having long xylem conduits and considered to
99 be highly vulnerable to cavitation.

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102 **Materials and Methods**

103

104 Experiments were conducted on 1 m-tall potted Laurel saplings grown outside in the INRA
105 lab nursery at Clermont-Ferrand in fall 2013, i.e. when cambial activity had stopped.

106 Current-year leafy shoots were cut in air from well-watered *Laurus* saplings in the
107 morning after sunrise, and brought to the lab where the shoots were rehydrated by immersing
108 their cut end under tap water until experiments started. Two independent procedures were
109 used to induce cavitation. First, four excised shoots (30 cm long) were allowed to dehydrate
110 on a lab bench and their xylem pressure was measured with a Scholander bomb (PMS
111 Instruments, Corvallis, USA) on covered leaves selected in the lower half of the shoots. An
112 imaging cross-section was selected on an internode located 10 cm below the apex of each
113 sample. All leaves below this internode were removed, but leaves above the internode were
114 preserved in order to prevent air entry into the xylem vessels. The leaves were gently taped
115 around the stem and wrapped in plastic paraffin film. A micro-CT scan was then recorded at
116 10 cm below the apex of each shoot, following the procedure detailed below.

117 For the second procedure, we used centrifugal force to induce cavitation. We selected
118 two shoots similar to the previous ones, removed the leaf blades, cut (in air) their distal end
119 just below the terminal leaf, and recut the proximal end to adjust the length to 28 cm. The
120 imaging section was selected exactly at the middle of the segment, i.e. where xylem tension is
121 highest during centrifugation (Cochard *et al.* 2005). A first micro-CT scan was acquired as
122 described below to reveal the native state of embolism in each shoot. The shoots were then
123 placed in a dedicated rotor (Cochard *et al.* 2005) with both ends inserted in two identical
124 cuvettes containing 1 cm of distilled water to prevent any flow through the samples during
125 centrifugation. The segments were centrifuged for two minutes in order to lower the xylem
126 pressure at the imaging section to -1 MPa. The samples were removed from the centrifuge and
127 a second micro-CT scan was acquired at the exact same imaging section. The procedure was
128 repeated in 1 MPa steps until a minimum pressure of -6 MPa was reached.

129 To visualize xylem embolism, samples were first dropped in liquid paraffin wax in
130 order to prevent their drying during the 38-minute scan, then placed in an X-ray
131 microtomograph (Nanotom 180 XS, GE, Wunstorf, Germany) at the PIAF laboratory (INRA,
132 Clermont-Ferrand, France). The field-of-view was a $4.6 \times 4.6 \times 4.6$ mm³ volume and covered
133 the full cross-section of the samples. X-ray source settings were 50 kV and 275 μ A, and 1000

134 images were acquired during the 360° rotation of the sample. At the end of the experiment,
135 the sample was cut 3 mm above the scanned cross-section, injected with air (0.1 MPa), and
136 re-scanned to visualize the complete map of the emptied vessels. It is important to note that if
137 immature vessels were present, their lumen would have remained filled with cytoplasm after
138 air-injection and they would not have been included in our embolism measurements.
139 After 3D reconstruction, the spatial resolution of the image was $2.0 \times 2.0 \times 2.0 \mu\text{m}$ per
140 voxel. One transverse 2D cross-section was virtually extracted from the middle of the volume
141 using VGStudioMax software (Volume Graphics, Heidelberg, Germany) then analyzed using
142 ImageJ software (Rasband 2014) to determine percent embolism of each sample. Briefly, the
143 diameters of the air-filled vessels were determined and their hydraulic conductivity K was
144 calculated using the Hagen-Poiseuille equation. Only the conduits with a diameter $>10 \mu\text{m}$
145 were considered, thus removing all non-conductive air-filled fibers from the analysis. The
146 same procedure was applied to the cut samples, which enabled us to calculate the total sample
147 conductivity K_{max} . Percent embolism in the sample was then computed as K -to- K_{max} ratio.
148 Following Salleo *et al.* (2004) and Salleo *et al.* (2006), the percentages of embolism reported
149 here for centrifuged samples are net of native levels measured at 0 MPa.

150 Maximum vessel length was determined using the air-injection method (Ewers and
151 Fisher 1989). Eleven shoots similar to the ones used in the experiments described above were
152 cut from the trees. The proximal cut end was connected to a compressed air tank (0.1 MPa)
153 and the distal end was immersed in tap water. The shoots were then shortened by cutting 0.5
154 cm segments from the distal end until bubbles were seen coming out of the cross-section. This
155 indicated that one vessel was cut open at both ends and the remaining sample length was
156 taken as a measure of maximum vessel length.

157 158 **Results**

159 The micro-CT images revealed that level of embolism measured in the central position of the
160 two segments used for these experiments was 17% and 22% (Fig. 1A). This level of ‘native’
161 embolism may be mostly induced during sample preparation. Indeed, maximum vessel length
162 averaged 23.6 cm (SD=3.6, n=11) in the current-year Laurel shoots. Therefore, we expected
163 to find a low proportion of vessels cut open and air-filled at center in the 28 cm-long samples
164 used for centrifugation. Assuming that vessel end-walls at both sample-ends decrease
165 according to an exponential law (Cohen *et al.* 2003), an estimated 12% of vessels were cut
166 open at the micro-CT imaging cross-section, and we cannot exclude that several vessels may
167 have become air-filled at the imaging cross-section when distal leaf blades were removed.

168 The number of air-filled vessels at the imaging section increased post-centrifugation
169 only when xylem pressure dropped below -3 MPa (Fig. 1B, Fig. 2, open circles), and only
170 30% embolism was induced by exposing samples to -6 MPa (Fig. 1C). These values were
171 consistent with the levels of embolism we measured using micro-CT images of *Laurus*
172 branches exposed to xylem pressures in the range of -4.5 to -6 MPa by bench dehydration
173 (Fig. 2, open squares).

174

175 Discussion

176 Brodersen *et al* (2013) were the first to publish a xylem embolism vulnerability curve
177 (VC) using a synchrotron-based micro-CT system on *Vitis*. Torres-Ruiz *et al.* (2014) then
178 constructed a VC for *Olea* trees using a desktop-based micro-CT. Both teams visualized the
179 spread of embolism in xylem vessels during dehydration. Strikingly, they found that vessels
180 remained full of sap until xylem pressures reached a threshold value as low as -1.5 MPa for
181 *Vitis* and -3 MPa for *Olea*, and reached 50% embolism at -2.5 MPa for *Vitis* and -4 MPa for
182 *Olea*. Furthermore, they found that most VCs obtained with indirect methods greatly
183 overestimated xylem vulnerability in these long-vessel species, therefore suggesting artifacts
184 due to the procedure for measuring embolism (cutting stems under water while the xylem was
185 under large tensions or constructing VCs on samples containing cut open vessels). A similar
186 artifact can be hypothesized for the work of Trifilò *et al.* (2014a) on *Olea* that reported a high
187 level of xylem embolism above -2 MPa, i.e. within a range of pressures where micro-CT was
188 unable to find any embolism events. The discrepancy is so high between the direct micro-CT
189 observations of Torres-Ruiz *et al.* (2014) and the indirect estimates of xylem embolism by
190 Trifilò *et al.* (2014) that it cannot be explained solely by differences in plant material.
191 Therefore, direct micro-CT observations challenge the existence of daily cycles of embolism
192 and refilling in Olive proposed by Trifilò *et al.* (2014a) and others before (Secchi *et al.* 2007).

193 Laurel (*Laurus nobilis* L.) was the first species reported to possess a so-called ‘novel’
194 refilling capacity, i.e. a capacity to refill embolized xylem vessels while bulk xylem pressure
195 is significantly negative (Salleo *et al.* 1996). Many authors have since reproduced these same
196 observations in Laurel (Tyree *et al.* 1999; Hacke & Sperry 2003; Salleo *et al.* 2004; Salleo *et*
197 *al.* 2006; Nardini *et al.* 2011; Oddo *et al.* 2014; Trifilò *et al.* 2014b)—but the evidence
198 supporting this novel refilling in Laurel has always been based on indirect estimates of xylem
199 embolism; and there is still that possibility that sampling or measuring artifacts could have
200 biased these results. To test this hypothesis, we visualized, for the first time, xylem embolism
201 in dehydrated shoots of this model species by direct micro-CT observations. Our results show

202 that xylem vessels in Laurel were outstandingly resistant to cavitation, as the threshold xylem
203 pressure inducing cavitation was *below* -3 MPa (Fig.1 C-D, Fig. 2). This finding is in stark
204 contrast to the cavitation data previously obtained with indirect techniques on Laurel (Fig. 2)
205 that suggest a pressure threshold for cavitation *above* -1 MPa. Once again, this suggests that
206 sampling or measuring procedures may have biased the conclusion of previous studies
207 exploring rapid refilling in Laurel in a range where their xylem conduits are not prone to
208 cavitation. The strong discrepancy between the VC obtained here by micro-CT visualization
209 and the VC published by Cochard (2002) with the Cavitron technique can be explained by the
210 now well-established ‘open-vessel’ artifact associated with centrifuge-based techniques
211 (Torres-Ruiz *et al.* 2014). Vessels cut open at both sample ends cannot sustain large tensions
212 and become air-filled before embolism forms in intact vessels. The Cavitron technique
213 revolves around measuring entire-shoot conductance during centrifugation and is thus
214 strongly impacted by embolism forming at sample ends. The micro-CT approach only detects
215 embolism forming in the center of the sample. As laurel vessels are relatively long, a small
216 proportion of cut open vessels emptied all the way up to the imaging point. These vessels
217 were discarded from our analysis, and the VC was constructed solely with intact vessels.

218 These recent data on Vitis, Olive and Laurel illustrate how micro-CT observations can
219 serve as a reference method for the study of xylem embolism and refilling and help swiftly
220 resolve controversies that would otherwise remain unsolved with more traditional approaches.
221 However, it is important to note that, contrary to synchrotron facilities, desktop-based micro-
222 CT apparatuses are often closed systems with a small chamber, and sample size is usually
223 limited to a few decimeters. It can also be speculated that the high radiation dose to the
224 sample over long duration scans may promote embolism, kill living tissue and therefore
225 prevent refilling. Under this hypothesis, xylem embolism in Olive and Laurel would occur at
226 even more negative pressures than those reported here.

227

228 **Conclusion**

229 Our findings suggest that indirect techniques can no longer be assumed to provide an
230 unbiased reference VC in long-vesseled species and that the experimental evidence for
231 ‘novel’ refilling warrants revisit (Cochard & Delzon 2013). We therefore strongly encourage
232 scientists working on this refilling mechanism to first strengthen their findings with direct
233 micro-CT visualization in order to ground their conclusions on evidence provided by direct
234 observations. There is an urgent need to consolidate the techniques for measuring xylem

235 embolism in long-vesseled species if we are to finally understand the physiological and
236 ecological significance of this trait.

237

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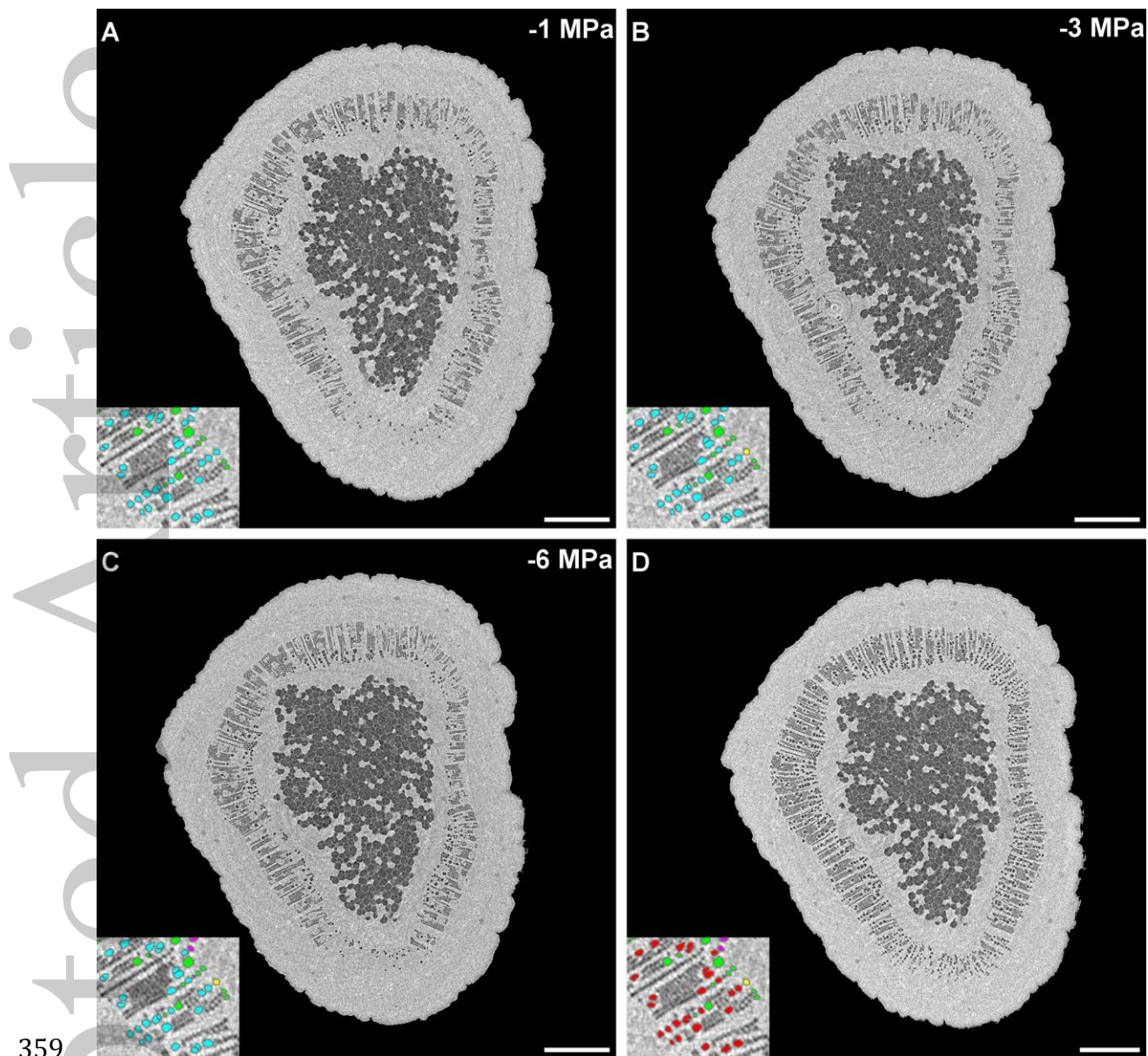
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339 **Figure 1:** Direct visualization of xylem embolism by micro-CT technology. Laurel shoots
340 were scanned in their central part and a 2D cross-section was reconstructed showing
341 functional (gray) and air-filled (black) xylem conduits. Total number of xylem conduits was
342 revealed by cutting the shoots a few mm above the initial scanned region (D). Newly-
343 embolized vessels were observed above a native-state level only at pressures below -3 MPa.
344 The magnified inserts show native embolism (green) and functional conduits (blue) becoming
345 air-filled as xylem tensions increased (yellow then pink). Many vessels (red) have a cavitation
346 pressure below -6 MPa. These direct observations demonstrate that Laurel is much more
347 resistant to embolism than indirect methods had previously suggested. Scale bar = 1 mm.

348
349 **Figure 2:** Xylem embolism vulnerability curves for *Laurus nobilis* L. Xylem embolism
350 formation and refilling have been intensively studied in Laurel by means of *indirect* methods
351 (see references in the legend box). The results of these studies suggested that Laurel is highly
352 vulnerable to embolism and that daily hydraulic failure and repair may therefore be routine.
353 *Direct* observations of xylem embolism by X-ray microtomography paint a different picture
354 (open symbols). Here, vessels remain functional until xylem pressure reaches a substantial
355 level of tension that is far more negative than what indirect methods had suggested. Daily
356 embolism is definitely not routine in Laurel and, therefore, which makes refilling irrelevant.

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